

## Request for Proposals

#### Architectural Services

Date Issued: Monday February 10,2025

Due: Friday, 2:00 PM EST, March 7th, 2025

NOTICE IS HEREBY GIVEN that submissions will be received by the Troy Community Land Bank Corporation (the "Land Bank") to provide Architectural Design Services. This Request for Proposal ("RFP") is a competitive process designed to serve the Land Bank's best interests and to provide firms a fair opportunity for consideration of their services. The request is open to all qualified firms able to deliver all requirements specified in this RFP. Proposals should address the proposal requirements for the services. All proposals will be reviewed by the Land Bank. However, submissions may be subject to public disclosure as required by applicable laws.

Proposals must be received by email delivery to Brad.Lewis@troycommunitylandbank.org, or via mail delivered to Troy Community Land Bank Corporation, 415 River Street, Suite 101 Troy, NY 12180 no later than 2:00 PM local time Friday March 7th, 2025. Faxed RFPs are not acceptable.

#### I. GENERAL BACKGROUND

The Troy Community Land Bank Corporation was approved by New York State Urban Development Corporation d/b/a Empire State Development, pursuant to Article 16 of the New York State Not-for Profit Corporation Law. The Troy Community Land Bank is a not-for-profit formed by the City of Troy to facilitate the return of vacant and abandoned properties to productive use. The Land Bank's work will include the acquisition and resale of properties to qualified buyers/investors, Land Bankmanaged renovation of properties to sell in move-in or rehab ready condition, and long-term holding and assembly of certain properties to facilitate future development projects.

The Troy Community Land Bank presents unique architectural challenges, as most properties are distressed structures requiring stabilization, rehabilitation, or adaptive reuse. Our focus is on sustainable, community-driven redevelopment. TCLB intends to completely rehabilitate the 4 structures and requires an architect to support that mission. Please see our website for more information regarding our application process, and other policies and procedures:

https://www.troycommunitylandbank.org.



## II. Qualifications:

- Licensed architect with a current registration in the State of New York, experienced in the design and rehabilitation of multi-family residential buildings.
- The lead architect on the proposal must possess a minimum of 5 years of experience in architectural design, with demonstrated expertise in historic preservation, adaptive reuse, and/or affordable housing rehabilitation.
- Preferred, but not required, to have an office in Rensselaer County, preferably in the City of Troy.
- Familiarity and experience with the City of Troy's architectural styles, building codes, and permitting processes, particularly in distressed neighborhoods targeted for revitalization.
- Knowledge of available financial incentives, grant programs, and regulatory requirements affecting rehabilitation projects, and the ability to integrate cost-effective design solutions that align with funding constraints and community goals.

#### III. Scope of Services:

The selected architect will provide comprehensive design and project management services for the rehabilitation of four distinct multi-family buildings. The scope of work includes assessment, design, permitting, and construction oversight to ensure each property is rehabilitated in accordance with applicable codes, historic preservation considerations, and project goals.

#### **Project Overview**

The buildings to be rehabilitated are as follows:

- 3340 6th Ave, Troy, NY 12180 Rehabilitate an existing two-family structure, maintaining the current floor plans and wall layout.
- 834 River St, Troy, NY 12180 Rehabilitate an existing three-family structure, preserving the existing floor plans and wall layout.
- 836 River St, Troy, NY 12180 Design a new layout for an existing three-family structure. The building is currently stripped down to the studs.
- 871 River St, Troy, NY 12180 Rehabilitate the first-floor commercial space into the Troy Community Land Bank offices and design a second-floor residential apartment. A full interior design plan is required for both spaces.

The architect will be responsible for ensuring functional, code-compliant, and cost-effective designs that align with the Land Bank's mission of revitalization and community impact.



### Site Visit Schedule:

Site visits for the properties listed in this RFP will occur on the following schedule:

- Wednesday, February 19, 2025 or by appointment
  - 11:00 AM: 834 & 836 River St, Troy, NY 12180
  - o **11:30 AM:** 871 River St, Troy, NY 12180
  - o **12:00 PM:** 3340 6th Ave, Troy, NY 12180

These visits will provide architects with the opportunity to view the properties in person.

#### **Questions & Answers:**

All questions related to this RFP must be submitted by **Tuesday, February 25, 2025**, at **2:00 PM**. Responses to all questions will be posted by **Friday, February 28, 2025**.

The scope of work includes, but is not limited to, the following:

## 1. Preliminary Design & Planning

- Conduct site visits and assess existing conditions of all four buildings, documenting structural and architectural features.
- Review historical significance, zoning regulations, and code compliance requirements.
- Develop conceptual design options that balance preservation, modernization, and affordability.
- Engage with key stakeholders, including the Troy Community Land Bank, city officials, and potential funding partners, to ensure alignment with project goals.

## 2. Design Development & Construction Documents

- Prepare schematic design drawings for review and approval.
- Develop full construction drawings and specifications, ensuring compliance with NYS Building Code, HUD guidelines (if applicable), and local preservation requirements.
- Integrate energy-efficient and sustainable design strategies where feasible.
- Provide cost estimates and value engineering recommendations to optimize project affordability.

#### 3. Permitting & Approvals

• Respond to inquiries from reviewing authorities and revise plans as needed to obtain permits.

## 4. Bidding & Contractor Selection

- Assist in the preparation of bid documents and selection criteria for contractors.
- Participate in pre-bid meetings and provide clarifications to potential bidders.
- Evaluate contractor proposals and provide recommendations to the Troy Community Land Bank.

#### 5. Construction Administration



- Conduct site visits at key project milestones to monitor construction progress and adherence to design specifications.
- Review and approve contractor submittals, including shop drawings and material selections.
- Address design-related issues that arise during construction and provide solutions to maintain schedule and budget.
- Participate in final inspections and develop a punch list for project closeout.

## 6. Project Closeout & Compliance

- Ensure all work complies with approved plans and applicable regulations.
- Provide as-built drawings and documentation for future reference.
- Assist with any final approvals, certifications, and occupancy requirements.

## 7. Project Timeline Expectations

- TCLB Will select architect March 19th
- TCLB reserves the right to award each individual design project to a different vendor, with up to four unique vendors selected in total.
- The architect must complete and submit plans by May 1, 2025, acknowledging that time is of the essence. These rehabilitations are funded through a grant secured by TCLB.

## IV. Proposal Requirements:

Interested firms or individuals must submit a proposal that includes the following:

#### 1. Cover Letter

- A brief introduction summarizing the firm's or individual's qualifications, experience, and interest in the project.
- The name, title, and contact information of the primary point of contact.

#### 2. Firm/Individual Qualifications & Experience

- A summary of the firm's or individual's background, including years in business, areas of expertise, and relevant experience with multi-family rehabilitation projects.
- Description of experience working with historic buildings, adaptive reuse, affordable housing, or community-driven redevelopment.
- Familiarity with the City of Troy's building codes, permitting process, and neighborhood revitalization initiatives.
- Experience with projects that utilize public funding sources, grants, or historic tax credits.

## 3. Key Personnel & Project Team



- Resumes of the lead architect and key team members, including relevant experience and professional credentials.
- Identification of any subcontractors or consultants (e.g., structural engineers, MEP engineers, historic preservation specialists) and their roles.

## 4. Approach & Methodology

- A detailed description of the proposed approach to the project, including assessment of existing conditions, design philosophy, and project management strategies.
- Description of how the architect will ensure compliance with local regulations, historic preservation guidelines, and affordable housing considerations.
- Approach to cost control, value engineering, and energy-efficient design.

## 5. Proposed Work Plan & Timeline

- A proposed project schedule outlining key milestones from initial assessment through project completion.
- Estimated duration for each phase of the design process, including permitting, bidding, and construction administration.

## 6. Relevant Project Examples

- Case studies or examples of similar projects completed within the past five years, including project descriptions, photos, and outcomes.
- References for at least three completed projects, including client contact information.

## 7. Fee Proposal

The proposal must include a detailed fee structure outlining costs for each phase of work. The fee proposal should include:

- Itemized pricing for each property, specifying lump sum or hourly rates for key phases of work.
- Breakdown of reimbursable expenses, such as printing, travel, and permitting fees.
- Identification of potential additional costs, including any contingencies or factors that may impact the overall budget.

#### 8. Insurance & Legal Requirements

- Proof of professional liability insurance, general liability insurance, and workers' compensation coverage.
- Any other required certifications or licenses necessary for the scope of work.

#### 9. Additional Information (Optional)



• Any additional relevant information that demonstrates the proposer's qualifications and ability to successfully complete the project.

#### V. INDEMNIFICATION

The successful contractor shall defend, indemnify and save harmless the Land Bank, its employees and agents, from and against all claims, damages, losses and expenses including, without limitation, reasonable attorneys' fees) arising out of, or in consequence of, any negligent or intentional act or omission of the successful contractor, its employees or agents, to the extent of its or their responsibility for such claims, damages, losses and expenses.

#### VI. NON-COLLUSIVE CERTIFICATION

By submission of this RFP, each contractor and each person signing on behalf of any contractor certifies, and in the case of a joint proposal each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his knowledge and belief:

- (1) The prices in this proposal have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other contractor or with any competitor; and
- (2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the contractor and will not knowingly be disclosed by the contractor prior to opening, directly or indirectly, to any other contractor or to any competitor; and
- (3) No attempt has been made or will be made by the contractor to induce any other person, partnership or corporation to submit or not to submit a proposal for the purpose of restricting competition.

## VII. MWVBE PROMOTION

The Land Bank strongly encourages participation from Minority-Owned Business Enterprises (MBE), Women-Owned Business Enterprises (WBE), and Veteran-Owned Business Enterprises (VBE) in this project. It is also the Land Bank's goal to award Procurement Contracts to those procurement contractors who have evidenced compliance with the laws of the State of New York prohibiting discrimination in employment.

## **VIII. AFFIRMATIVE ACTION**

As required by Executive Law § 312, and in compliance with the Land Bank's procurement policy, any contractor awarded a procurement contract in excess of \$25,000 for services rendered to the Land Bank must acknowledge this affirmative action policy and agree to implement the same by making every reasonable effort to award any subcontracts (none of hereby authorized) to MBEs, WBEs, and VBEs and to utilize minority and labor in the performance of any agreement that is



awarded to the contractor. Specifically, any contractor awarded a contract in excess of \$25,000 dollars will be expected to abide by the following provisions:

- 1. The contractor will not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status, and will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination. For purposes of this section, affirmative action shall mean recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation.
- 2. At the request of the contracting agency, the contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of the contractor's obligations herein.
- 3. The contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the MWVBE Threshold Contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status

#### IX. NON-DISCRIMINATION POLICY

In accordance with Article 15 of N.Y. Executive Law (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor agrees that neither it nor any of its subcontractors shall, by reason of age, race, creed, color, national origin, sexual orientation, military status, sex, disability, predisposing genetic characteristics or marital status refuse to hire or employ or to bar or to discharge from employment such individual or to discriminate against such individual in compensation or in terms, conditions or privileges of employment.

## X. MISCELLANEOUS REQUIREMENTS

1. The Land Bank will not be responsible for any expenses incurred by any firm in preparing or submitting a proposal. All proposals shall provide a straightforward, concise delineation of the firm's capabilities to satisfy the requirements of this RFP. Emphasis should be on completeness and clarity of content.



- 2. The contents of the proposal submitted by the successful firm and this RFP may become part of the contract for these services. The successful firm will be expected to execute said contract with the Land Bank.
- 3. Proposals shall be signed in ink by the individual or authorized principal of the responding party.
- 4. Proposals submitted shall be valid for a minimum of sixty (60) days from the date of opening.
- 5. The Land Bank reserves the right to reject any and all proposals received or to negotiate separately in any manner necessary to serve the best interests of the Land Bank.
- 6. The selected firm is prohibited from assigning, transferring, conveying, subletting or otherwise disposing of any agreement or its rights, title, or interest therein or its power to execute such agreement to any other person, company or corporation without the prior written consent of the Land Bank.

## XIII. PROPOSAL SUBMISSION

Proposals must be received at the Troy Community Land Bank office:

Troy Community Land Bank Corporation

Attn: Brad Lewis

**Executive Director** 

415 River St, Suite 101

Troy, NY 12180

The proposal shall be:

-contained in a sealed envelope, clearly marked "Troy Community

Land Bank - PROPOSAL FOR ARCHITECTURAL DESIGN SERVICES".

Faxed Proposals are not acceptable.

-e-mailed to Brad.Lewis@troycommunitylandbank.org



Note: Submissions must be submitted and received by 2:00 PM local time Friday March 7th, 2025.

The Land Bank will not be responsible for any expenses incurred by any firm or person in preparing or submitting a proposal.

## I. PAYMENTS & INVOICING

Invoices will be submitted to the Troy Community land bank, ATTN: Brad Lewis, 415 River Street, Troy NY 12180.

## II. PROPOSAL SUBMISSION

- A. Interested firms must respond to this RFP in order to be considered.
- B. Method of Proposal Submission.
  - 1. Proposals shall be submitted on the forms provided herein, properly executed and with all items filled out. No changes can be made to the wording of the Bid Form. Unauthorized conditions, limitations or provisions attached to the proposal shall be cause for rejection of the bid proposal.
  - 2. Proposals can be submitted electronically via the Bid Form to <a href="mailto:brad.lewis@troycommunitylandbank.org">brad.lewis@troycommunitylandbank.org</a> on or before 2:00 p.m on March 7, 2025 OR
  - 3. Proposals can be Mailed or hand delivered to Troy Community Land Bank. 415 River Street, Troy NY on or before 2:00 p.m March 7th, 2025
  - 4. Late proposals will not be accepted or considered.
  - 5. Fax proposals will not be accepted.
- C. TCLB reserves the right to reject any or all bids, to waive any informalities in the bids. TCLB reserves the right to award the buildings to different contractors if it is determined to be in their best interest to do so.
- D. Proposal material will be treated as proprietary and become the property of TCLB. TCLB reserves the right to accept or reject any and all proposals, or separable portion of offers, and waive technicalities, irregularities and omissions if it is in the best interest of the Land Bank..
- E. Proposals must remain firm and effective for 90 days from the date they are received by TCLB.
- F. All costs associated with the preparation of the proposal are the responsibility of the bidder.

## III. REQUIRED DISCLOSURES

- A. Each Respondent shall complete and execute **Exhibits A-E** to this RFP. For each Exhibit, each Respondent must disclose, if applicable:
- 1. Its inability or unwillingness to meet any requirement of this RFP. Specific exceptions or additions should be included as an attachment to the Exhibit.

- 2. If it is listed on the State of New York's Department List;
- 3. If it is ineligible under any applicable law or regulation to be awarded the contracts because of occupational safety and health law violations;
- 4. All unresolved and pending arbitrations and litigation matters in which the Respondent or any of its principals (regardless of place of employment) has been involved within the last ten (10) years;
- 5. All criminal proceedings in which the Respondent or any of its principals (regardless of place of employment) has ever been the subject; and
- 6. Each instance in which it or any of its principals (regardless of place of employment) has ever been found to have violated any state or local ethics law, regulation, ordinance, code, policy or standard, or to have committed any other offense arising out of the submission of Proposals or bids or the performance of work on public works projects or contracts. A Respondent's acceptability based on these disclosures lies solely in ACDA/ACLB's discretion.

#### B. LEGAL STATUS

Each Respondent shall submit a completed Legal Status Form that is attached as **Exhibit B** to this RFP. If a Respondent is a corporation, limited liability company or other business entity that is required to register with the New York Secretary of State's Office, it must have a current registration on file with that office and be in good standing in its jurisdiction of incorporation. TCLB may, in its sole discretion, request acceptable evidence of any Respondent's legal status. TCLB further reserves the right to require indemnifications and guaranties within the contracts and lease from principals and/or parent companies of the provider.

## C. PRESUMPTION OF RESPONDENT'S FULL KNOWLEDGE

Each Respondent is responsible for having read and understood each document in this RFP and any addenda issued by TCLB. A Respondent's failure to have reviewed all information that is part of or applicable to this RFP shall in no way relieve it from any aspect of its Proposal or the obligations related thereto. Each Respondent is further deemed to be familiar with and is required to comply with all federal, state and local statues, regulations, ordinances, codes and orders that in any manner relate to this RFP or the performance of the work described herein. By submitting a Proposal, each Respondent represents that it has thoroughly examined and become familiar with the scope of work outlined in this RFP, and it is capable of performing the work and executing the contracts necessary to achieve TCLB objectives.

## D. COMPLIANCE WITH IMMIGRATION LAWS

By submitting a Proposal, each Respondent confirms that it has complied, and during the term of the contracts and the lease contemplated by this RFP will comply, with the Immigration Reform and Control Act ("IRCA") and that each person the Respondent employs and/or provides services through under the contracts and the lease will at all times be authorized for employment in the United States of America. Each Respondent confirms that it has properly completed Employment Eligibility Verification, Form I-9, for each person who will be assigned to work on the Project or perform services under the contracts and that it will require each subcontractor of the Respondent, if any, to confirm that it has a properly completed Form I-9 for each person who works on the Project or performs services under the contracts. The selected Respondent shall defend, indemnify, and hold harmless TCLB and its respective employees, officers, officials, agents, volunteers and independent contractors, including any of the foregoing sued as individuals (collectively, the "Indemnified Parties"), against any and all proceedings, suits, actions, claims, damages, injuries, awards, judgments, losses or expenses, including fines, penalties, punitive damages, attorney's fees and costs, brought or assessed against, or incurred by, the Indemnified Parties related to or arising from the obligations under IRCA imposed upon the selected Respondent or any of its subcontractors. The selected Respondent shall also be required to pay any and all attorney's fees and costs incurred by the Indemnified Parties in enforcing any of the selected Respondent's obligations under this provision, whether or not a lawsuit or other proceeding is commenced, which obligation shall survive the termination or expiration of the contracts and the lease.

## E. ADVERTISING

The selected Respondent shall not name TCLB in its advertising, news releases, or promotional efforts without TCLB's prior written approval. If it chooses, the selected Respondent may list TCLB in a statement of references or similar document required as part of its response to a public procurement. TCLB's permission to the selected Respondent to do so is not a statement about the quality of the selected Respondent's work or TCLB's endorsement of the selected Respondent.

## F. NON-COLLUSION BIDDING CERTIFICATE

Each Respondent shall submit a completed Non-Collusion Bidding Certificate that is attached as **Exhibit C** to this RFP.

## G. IRAN DIVESTMENT ACT

Each Respondent shall submit an executed Iran Divestment Act Certification that is attached as **Exhibit E** 

#### H. VENDOR RESPONSIBILITY

All Respondents to this RFP must be "responsible," which in this context means that they must have the requisite financial ability, organizational capacity and legal authority to carry out its obligations under this RFP, and in addition must demonstrate that both the Respondent and its principals have and will maintain the level of integrity needed to contract with New York State entities such as TCLB. Further, the Respondent must show satisfactory performance of all prior government contracts. Accordingly, all contracts and any other related agreements to be entered into between TCLB and the selected Respondent, if any, shall include clauses providing that the selected Respondent remain "responsible" throughout the term of such contract(s), that TCLB may suspend such contract(s) if information is discovered that calls into question the responsibility of the contracting party, and that TCLB may terminate such contract(s) based on a determination that the contracting party is non-responsible.

#### I. INDEMNIFICATION

The selected Respondent shall defend, indemnify and save harmless TCLB, its employees and agents, from and against all claims, damages, losses and expenses (including without limitations, reasonable attorneys' fees) arising out of, or in consequence of, any negligent or intentional act or omission of the selected Respondent, its employees or agents, to the extent of its or their responsibility for such claims, damages, losses and expenses.

By responding to this RFP, all Respondents shall defend, indemnify and save harmless TCLB and its employees and agents, from and against all claims, damages, losses and expenses (including without limitations, reasonable attorneys' fees) arising out of, or in consequence of, such Respondent's use of any proprietary information in their respective Proposals.

## J. AFFIRMATIVE ACTION

As required by Executive Law § 312, and in compliance with TCLB's procurement policy, any contractor awarded a procurement contract in excess of \$25,000 for services rendered to TCLB must acknowledge this affirmative action policy and agree to implement the same by making every reasonable effort to award any subcontracts (none of hereby authorized) to MBEs and WBEs and to utilize minority and labor in the performance of any agreement that is awarded to the contractor. Specifically, any contractor awarded a contract in excess of \$25,000 dollars will be expected to abide by the following provisions:

- A. The contractor will not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status, and will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination. For purposes of this section, affirmative action shall mean recruitment, employment, job assignment, promotion, upgradings, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation.
- B. At the request of the contracting agency, the contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of the contractor's obligations herein.
- C. The contractor shall state, in all RFPs or advertisements for employees, that, in the performance of the MWBE Threshold Contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status

## K. NON-DISCRIMINATION POLICY

In accordance with Article 15 of N.Y. Executive Law (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor agrees that neither it nor any of its subcontractors shall, by reason of age, race, creed, color, national origin, sexual orientation, military status, sex, disability, predisposing genetic characteristics or marital status refuse to hire or employ or to bar or to discharge from employment such individual or to discriminate against such individual in compensation or in terms, conditions or privileges of employment.

characteristics or marital status refuse to hire or employ or to bar or to discharge from employment such individual or to discriminate against such individual in compensation or in terms, conditions or privileges of employment.

A. Contractor shall not discriminate in its activities and operations in connection with this Agreement on the basis of age, race, creed, ethnicity, color, religion, sex, sexual orientation, national origin, disability, marital status or any other basis that is prohibited by the United States federal, state, or local law or

regulation. Grantee expressly agrees not to use Grant Proceeds for any purpose or in any manner that could be deemed to violate the Fair Housing Act, 42 U.S.C. § 3601 et seq., or the Equal Credit Opportunity Act, 15 U.S.C. § 1691 et seq., or any regulation promulgated thereto.

B. Contractor shall require any Subcontractor, or Sub-Subcontractor receiving Grant Proceeds to comply with the obligations set forth in this section, including by providing their express agreement not to use Grant Proceeds for any purpose or in any manner that could be deemed to violate the Fair Housing Act, 42 U.S.C. § 3601 et seq., or the Equal Credit Opportunity Act, 15 U.S.C. § 1691 et seq., or any regulation promulgated thereto.

#### L. SECTION HEADINGS

The section headings and titles used in this RFP are for convenience only and in no way modify the scope or intent of any provision contained in this RFP.

## M. REQUIRED DOCUMENTS

The Proposal submission must include the following documents completed and executed by the Respondent:

- A. Exhibit A Initial Disclosure Form;
- B. Exhibit B Legal Status Form;
- C. Exhibit C Non-Collusion Bidding Certificate;
- D. Exhibit D Bidder Hold Harmless Agreement; and
- E. Exhibit E Iran Divestment Act Certification.

## N. ADDITIONAL TERMS AND CONDITIONS

- 1. All materials submitted in response to this RFP will become the property of the Land Bank.
- 2. Submission of a proposal in response to this RFP shall constitute an offer on the part of the successful proposer to execute a Contract substantially as described herein.
- 3. News releases or other public announcements relating to this RFP shall not be made by any party receiving this RFP without the prior written approval of the Land Bank.
- 4. All proposals submitted to the Land Bank in response to this RFP may be disclosed in accordance with the standards specified in the Freedom of Information Law, Article 6 of the Public Officers Law of the State of New York ("FOIL"). An individual or firm submitting a proposal may provide in writing, at the time of its submission, a detailed description of the specific information contained in its submission which has been determined is a trade secret and which, if disclosed, would substantially hard such proposers competitive position. This characterization shall not be determinative, but will be considered by the Land Bank when evaluating the applicability of any exemptions in response to a FOIL request.

## PROPOSAL EVALUATION

- A. Proposals will be reviewed by members of the TCLB. Evaluation and selection will be based upon the following criteria (not listed in any particular order):
  - Completeness of response
  - Conformance to specified requirements, terms and conditions, etc.
  - Price
  - Ability to meet delivery requirements or project schedule
  - Technical capability
  - Past experience

## O. QUESTIONS

Questions regarding this RFP must be submitted to Brad Lewis Email: brad.lewis@troycommunitylandbank.org

# BID FORM - NOTE - SEPARATE BIDS ARE REQUIRED FOR EACH JOB

Having carefully examined and considered the Bid Package sent dated 02-10-2025. The following price(s) is/are submitted for all work covered by the bid documents.

<del> </del>	\$
TCLB Archetectural Servises for 3340 Sixth Avenue	S
TCLB Archetectural Servises for 834 River Street	\$
TCLB Archetectural Services for 836 River Street	\$
TCLB Archetectural Servises for 871 River Street	\$
SIGNING BELOW SIGNIFIES ACCEPTANCE NO OTHER DIFFERENT OR ADDITIONAL APPLY UNLESS AGREED TO IN WRITING I OF BOTH PA	L TERMS AND CONDITIONS SHALL BY THE AUTHORIZED SIGNATORIES
Submitted by:	
Company Name	Email Address
Signature	Phone Number
Printed Name	Date

**Business Address** 

# EXHIBIT A INITIAL DISCLOSURE FORM

This form must be signed and returned with proposal

1. Exceptions to the RFP (Please check one of the following)	
This Proposal does not take exception to any requirement of the RFP, including but not limited to any of the terms of any contracts that are a part of this RFP	
This Proposal does take exception to requirements of the RFP or terms of any contracts contemplated thereby. The specific exceptions are listed in a separate attachment.	
2. State Debarment List  Is the Respondent on the State of New York's Debarment List?  Yes No	
3. Occupational Safety and Health Law Violations	
Has the Respondent or any firm, corporation, partnership or association in which it has an interest	
<ul> <li>(1) been cited for three (3) or more willful or serious violations of any occupational safety and health act or of any standard, order or regulation promulgated pursuant to such act, during the three-year period preceding the Proposal (provided such violations were cited in accordance with the provisions of any state occupational safety and health act or the Occupational Safety and Health Act of 1970, and not abated within the time fixe by the citation and such citation has not been set aside following appeal to the appropriate agency or court having jurisdiction) or (2) received one or more criminal convictions related to the injury or death of any employee in the three-year period preceding the Proposal?</li> <li>Yes If "yes," attach a sheet fully describing each such matter.</li> <li>No</li> </ul>	
<ul> <li>4. Arbitration/Litigation</li> <li>Has either the Respondent or any of its principals (regardless of place of employment) been involved for the most recent ten (10) years in any resolved or pending arbitration or litigation?</li> <li>Yes If "yes," attach a sheet fully describing each such matter.</li> </ul>	
No	

5. Criminal Proceedings
Has the Respondent or any of its principals (regardless of the place of employment) ever been
the subject of any criminal proceedings?
Yes If "yes," attach a sheet fully describing each such matter.
No
6. Ethics and Offenses in Public Projects or Contracts
Has the Respondent or any of its principals (regardless of the place of employment) ever been
found to have violated any state or local ethics law, regulation, ordinance, code, policy or
standard or to have committed any other offense arising out of the submission of Proposals or
bids or the performance of work on public works projects or contracts?
Yes If "yes," attach a sheet fully describing each such matter.
No
100
NOTE:
THIS DOCUMENT, IN ORDER TO BE CONSIDERED A VALID PROPOSAL MUST BE
SIGNED BY A PRINCIPAL OFFICER OR OWNER OF THE BUSINESS ENTITY THAT IS
SUBMITTING THE PROPOSAL. SUCH SIGNATURE CONSTITUTES THE
RESPONDENT'S REPRESENTATIONS THAT IT HAS READ, UNDERSTOOD AND
FULLY ACCEPTED EACH AND EVERY PROVISION OF EACH DOCUMENT
COMPRISING THE RFP, UNLESS AN EXCEPTION IS DESCRIBED ABOVE.
E' N. A.11
Firm Name:Address
Signed By:Title
Date:Telephone #
* The signatory must be an authorized representative of the Respondent with full power and
authority to execute this Disclosure Form.
Signature

## EXHIBIT B LEGAL STATUS FORM

This form must be signed and returned with the Proposal

Please fully complete the applicable section	below, attached a separate	sheet if you need additional
space, and sign this form. This form must be	e signed and returned with	the Proposal.
For purposes of this disclosure, "permanent poccupied and used by the Respondent's regulthe Respondent's business in the Respondent'	ar employees regularly in a	ttendance to carry on
Respondent only for the duration of a contract		_
of business. An	1	P
Please Check One:		
	Company: Corporation: □	Partnership: □
If a Sole Proprietorship		
Respondent's Full Legal Name:		
Does the Respondent have a "permanent place	ce of business" in New York	k, as defined above?
Yes □No □		
If yes, please provide full street address (Not	a P.O. Box) of that "perma	nent place of business" below.
Street Address:	· -	
City:	State:	ZIP Code:
Mailing Address (if different from Street Add	dress):	
City:	State:	ZIP Code
Owner's Full Legal Name:		
Number of years engaged in business under '	'Sole Proprietor" or Trade N	Name:
If a Corporation		
Respondent's Full Legal Name:		
Does the Respondent have a "permanent place	ce of business" in New York	k, as defined above?
Yes □ No□		
If yes, please provide full street address (Not	a P.O. Box) of that "perma	nent place of business" below.
Respondent's Full Legal Name:		
Street Address:		
City:	State:	ZIP Code:
Mailing Address (if different from Street Add	dress):	
City:	State:	ZIP Code:

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Owner's Full Legal Name:		
Number of years engaged in business:		
Name of Current Officers:		
Chief Executive Officer:		
President:		
CFO/Treasurer:		
Secretary:		
If a Limited Liability Company		
Respondent's Full Legal Name:		
Does the Respondent have a "permanent place of <b>Yes</b> □ <b>No</b> □  If yes, please provide full street address (Not a Foundation of Street Address:		
City:	State:	ZIP Code:
Mailing Address (if different from Street Address	ss):	
City:	State:	ZIP Code:
Owner's Full Legal Name:	I	
Number of years engaged in business:		
Number of Current Manager(s) or Member(s):		
If a Partnership		
Respondent's Full Legal Name:		
Does the Respondent have a "permanent place of Yes □ No□  If yes, please provide full street address (Not a F		
Street Address:		
City:	State:	Zip Code:
Mailing Address (if different from Street Addres	ss):	
City:	State:	Zip Code:

Owner's Full Legal Name:		
Number of years engaged in busin	ess:	
Names of Current Partner(s):		
Disclosure Form Authorization		
m Name:	Address	
ned By:	Title	

The signatory must be an authorized representative of the Respondent with full power and authority to execute this Disclosure Form.

## **EXHIBIT C**

#### NON-COLLUSION BIDDING CERTIFICATE

The undersigned Respondent acknowledges and agrees that the attached response and offer submitted by Respondent is submitted in connection with the Proposal provided to the Troy Community Land Bank. By submission of this Proposal, each Respondent and each person signing on behalf of any Respondent certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:

The prices set forth within this Proposal have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other Respondent or with any competitor;

Unless otherwise required by law, the prices which have been quoted in this proposal have not been knowingly disclosed by the Respondent and will not knowingly be disclosed by the Respondent prior to opening, directly or indirectly, to any other Respondent or to any competitor; and

No attempt has been made or will be made by the Respondent to induce any other person, partnership or corporation to submit or not to submit, a Proposal for the purpose of restricting competition.

In compliance with this invitation for bids, and subject to the conditions thereof, the undersigned offers and agrees that the TCLB may rely upon both the within representations and the indemnifications set forth within the hold harmless agreement attached hereto as **Exhibit D.** 

Firm Name:
Authorized Signor Signature:
Address:
Phone:
Authorized Signor (Please print):
State of New York ) ss.: County of )
On theday ofin the year 2025, before me, the undersigned, personally appeared, personally known to me or proved to me on the basis of satisfactory evidence
to be the individual whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her capacity, and that by her signature on the instrument, the individual or person upon behalf of which the individual acted, executed the instrument.
Notary Public

# EXHIBIT D BIDDER HOLD HARMLESS AGREEMENT

("Bidder") hereby agrees that it will
indemnify and save harmless the Troy Community Land Bank from and against all losses from
claims, demands, payments, suits, actions, recoveries and judgments of every nature and
description brought or recovered against the Troy Community Land Bank by reason of any
omission or act of the Bidder, its agents, employees, subcontractors in connection with that
certain NON-COLLUSION BIDDING CERTIFICATE submitted herewith, to the extent
permissible by law. This indemnification shall include all costs and disbursements incurred by
the Troy Community Land Bank in defending any suit, including attorneys' fees. Furthermore, at
the option of the Troy Community Land Bank, as the case may be, the Bidder shall provide
defense for and defend all claims, demands and causes of action referred to above, and bear all
other costs and expenses related thereto.
Firm Name:
Authorized Signor Signature:
Address:
Phone:
Authorized Signor (Please print):
State of New York ) ss.:
County of )
On theday ofin the year 2024, before me, the undersigned, personally appeared
, personally known to me or proved to me on the basis of satisfactory evidence
to be the individual whose name is subscribed to the within instrument and acknowledged to me that she
executed the same in her capacity, and that by her signature on the instrument, the individual or person upon behalf of which the individual acted, executed the instrument.
apon benan of which the individual acted, executed the instrument.
Notary Public

#### **EXHIBIT E**

Firm Name

#### IRAN DIVESTMENT ACT CERTIFICATION

By submitting a Proposal in response to this RFP or by assuming the responsibility of a contract awarded hereunder, Bidder/Contractor (or any assignee) certifies that it is not on the "Entities Determined To Be Non-Responsive Bidders/Offers Pursuant to The New York State Iran Divestment Act of 2012" list ("Prohibited Entities List") posted on the OGS website at:

http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf and further certifies that it will not utilize on such Contract any subcontractor that is identified on the Prohibited Entities List. Additionally, Bidder/Contractor is advised that should it seek to renew or extend a Contract awarded in response to the RFP, it must provide the same certification at the time the Contract is renewed or extended. During the term of the Contract, should the Troy Community Land Bank receive information that a person (as defined in State Finance Law §165-a) is in violation of the above-referenced certifications, the Troy Community Land Bank will review such information and offer the person an opportunity to respond. If the person fails to demonstrate that it has ceased its engagement in the investment activity which is in violation of the Act within 90 days after the determination of such violation, then the Troy Community Land Bank shall take such action as may be appropriate and provided for by law, rule, or contract, including, but not limited to, seeking compliance, recovering damages, or declaring the Contractor in default.

The Troy Community Land Bank reserves the right to reject any bid, request for assignment, renewal or extension for an entity that appears on the Prohibited Entities List prior to the award, assignment, renewal or extension of a contract, and to pursue a responsibility review with respect to any entity that is awarded a contract and appears on the Prohibited Entities list after contract award.

Authorized Signor Sign	nature:
Address:	
Phone:	
Authorized Signor (Ple	ase print):
State of New York County of	) ss.:
County of	)
	in the year 2024, before me, the undersigned, personally appeared, personally known to me or proved to me on the basis of satisfactory evidence
to be the individual wh executed the same in he	ose name is subscribed to the within instrument and acknowledged to me that sher capacity, and that by her signature on the instrument, the individual or person
upon behalf of which the	ne individual acted, executed the instrument.
	Notary Public







